Docket No.

290578US0X PCT

# AIL STOP

IT AND TRADEMARK OFFICE IN THE UNITED S

IN RE APPLICATION OF:

Nobuhiro ISHIDA, et al.

FILED:

SERIAL NO: 10/578,614

May 8, 2006

GAU:

FOR:

**EXAMINER:** 

PROMOTER IN THE PRESENCE OF ORGANIC ACID AND UTILIZATION THEREOF

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

#### REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

### RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

#### DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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| Form PTO 1449   |  | U.S. DEPARTMENT   |                  | ATTY DOCKET NO.  |            | SERIAL NO.         |                      |    |  |
|---|--|---|------------------|--|------------|--------------------|----------------------|----|--|
| (Modified)  |  | PATENT AND TRA  |                  | 290578US0X PCT   | 10/578,614 |                    |                      |    |  |
| LIST OF REFERENCES CITED BY APPLICANT Wobuhiro ISHIDA, et al.                                   |  |   |                  |  |            |                    |                      |    |  |
| LIST OF   | REFE   |   | PLICANT (3)      | Nobuhiro ISHIDA, et al.  |            |                    |                      |    |  |
| 7 7006  |  |   | LING DATE        |  | GROUP      |                    |                      |    |  |
| AUG 07 2006   |  |   |                  | <b>8</b> /1ay 8, 2006  |            |                    |                      |    |  |
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| EXAMINER  |  | DOCUMENT  | DATE TRAVELLE    | NAME CLASS   |            | SUB FILING DATE    |                      |    |  |
| INITIAL   |  | NUMBER  | DATE             | NAME   | CLASS      | CLASS              | CLASS IF APPROPRIATE |    |  |
|   | AA   |   |                  |  |            |                    |                      |    |  |
|   | AB   |   |                  |  |            |                    |                      |    |  |
|   | AC   |   |                  |  |            |                    |                      |    |  |
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|   | AL   |   |                  |  | <u> </u>   |                    |                      |    |  |
|   | AM   |   | -                |  | -          |                    |                      |    |  |
|   | AN   |   |                  | -  |            | <u> </u>           |                      |    |  |
| FOREIGN PATENT DOCUMENTS  |  |   |                  |  |            |                    |                      |    |  |
|   |  | DOCUMENT<br>NUMBER  | DATE             | COUNTRY  |            | TRANSLATION YES NO |                      |    |  |
|   | AO   | 2001/038549   | 05/31/01         | WO(equivalent of US 2004/142478)   |            |                    |                      | NO |  |
|   | AP   | 1984/04538  | 11/22/84         | wo   |            |                    |                      | NO |  |
|   | AQ   | 02/00880  | 01/03/02         | WO(equivalent of US 2004/058429)   |            |                    |                      | NO |  |
|   | AR   | 02/064766   | 08/22/02         | WO(equivalent of US 2004/161840)   |            |                    |                      | NO |  |
|   | AS   | 2003-164295   | 06/10/03         | JP(with English abstract & computer ge   | enerated   |                    |                      | NO |  |
|   | Α3   | <u> </u>  | 1                | translation)   | 4 D        | 1- \               |                      |    |  |
| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)                         |  |   |                  |  |            |                    |                      |    |  |
|   | АТ   | HAUF, Joerg et al., "Simultaneous genomic overexpression of seven glycolytic enzymes in the yeast Saccharomyces cerevisiae", Enzyme and Microbial Technology, Vol. 26, No. 9-10, Pages 688-698, 2000.   |                  |  |            |                    |                      |    |  |
|   | ΑU   | RIOU, C. et al., "Stationary-Phase Gene Expression in Saccharomyces cerevisiae During Wine Fermentation", Yeast, Vol. 13, No. 10, Pages 903-915, 1997.  |                  |  |            |                    |                      |    |  |
|   | AV   | WU, Ke et al., " Expression and subcellular localization of a membrane protein related to Hsp30p in Saccharomyces cerevisiae", Biochimica Acta, Vol. 1463, No.2, Pages 477-482, 2000.   |                  |  |            |                    |                      |    |  |
|   |  |   |                  |  |            |                    |                      |    |  |
|   | AW   | ADACHI, Eri, et al., "Modification of Metabolic Pathways of Saccharomyces cerevisiae by the Expression of Lactate Dehydrogenase and Deletion of Pyruvate Decarboxylase Genes for the Lactic Acid Fermentation at Low pH Value", Journal of Fermentation and Bioengineering, Vol.36, No. 3, Pages 284-289, 1998. |                  |  |            |                    |                      |    |  |
|   | AX   | BRAMBILLA, L. et al., "NADH reoxidation does not control glycolytic flux during exposure of respiring Saccharomyces cerevisiae cultures to glucose excess", FEMS Microbiology Letters, Vol. 171, Pages 133-140, 1999.   |                  |  |            |                    |                      |    |  |
|   | AY   | DEQUIN, Sylvie et al., "Mixed Lactic Acid-Alcoholic Fermentation by Saccharomyes cerevisiae Expressing the Lactobacillus Casei L (+)-LDH", Bio/Technology, Vol. 12, Pages 173-176, February 1994.   |                  |  |            |                    |                      |    |  |
|   | AZ   | Saccharomyces cere  | visiae Cells for | of Metabolically Engineered he Production of Lactic Acid", Additional References sheet(s) attached |            |                    |                      |    |  |
| Biotechnol. Prog., Vol. 11, Pages 294-298, 1995.  Examiner                                      |  |   |                  |  |            | Date Considered    |                      |    |  |
|   | *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in |   |                  |  |            |                    |                      |    |  |
| conformance and not considered. Include copy of this form with next communication to applicant. |  |   |                  |  |            |                    |                      |    |  |

U.S. PCT Application Serial No: 10/578,614

Filed: May 8, 2006 Nobuhiro ISHIDA, et al. Docket No. 290578 US



### STATEMENT OF RELEVANCY

References AO - AS & AT - AV have been cited in the International Search Report. A copy of these references is being submitted herewith.

- 2) References have been cited in the corresponding copy of these references is being submitted herewith.

  Search Report. A
- 3) References AW & AX are discussed in the specification. A copy of these references is being submitted herewith.
- 4) References AY & AZ are additional prior art known to Applicant. A copy of these references is being submitted herewith.